









## **Table of Contents**

Background	·····	 	3
Adolescent Depression Co-Management Algorithm			4
Screening Guidance			5
Referral and Co-management			6
Psychopharmacology			7-8
Resources			q

- PHQ-2
- PHQ-2 Instructions for Use
- PHQ-9 Modified for Adolescents
- HIPAA Privacy Rule & Provider to Provider Communication
- Antidepressant Monitoring Form for Children and Adolescents

Information modified from Community Care of North Carolina Adolescent Depression Screening Follow-Up, And Co-Management Guidelines. Edited by Adrienne Coopey DO.

#### PCC - Therapist - Child & Adolescent Psychiatrist

## **ASSUMPTIONS:** (links to resources provided)

PCCs (primary care clinicians) are familiar with and are implementing:

- Routine risks and strengths screening at annual well-visits for adolescents (Bright Futures Supplemental Questionnaire for Adolescents, Guidelines for Adolescent Preventive Services (GAPS), HEADSSS)
- Engagement of, and conversations with adolescents and their families utilizing Common Factors approach
- Confidentiality
- Algorithms A&B from AAP Mental Health Toolkit <a href="http://www.aap.org/mentalhealth">http://www.aap.org/mentalhealth</a>, click on key resources, then Primary Care Tools

# NATIONAL QUALITY FORUM MEASURES (MEANINGFUL USE):

Measure Title: Preventive Care and Screening: Screening for Clinical Depression and Follow- Up Plan

**NQF Measure Number:** 0418

Measure Description: Percentage of patients aged 12 years and older screened for clinical depression

using an age appropriate standardized tool AND follow-up plan documented

Measure Title: Child and Adolescent Major Depressive Disorder (MDD):Suicide Risk Assessment

**NQF Measure Number: 1365** 

Measure Description: Percentage of patient visits for those patients aged 6 through 17 years with a

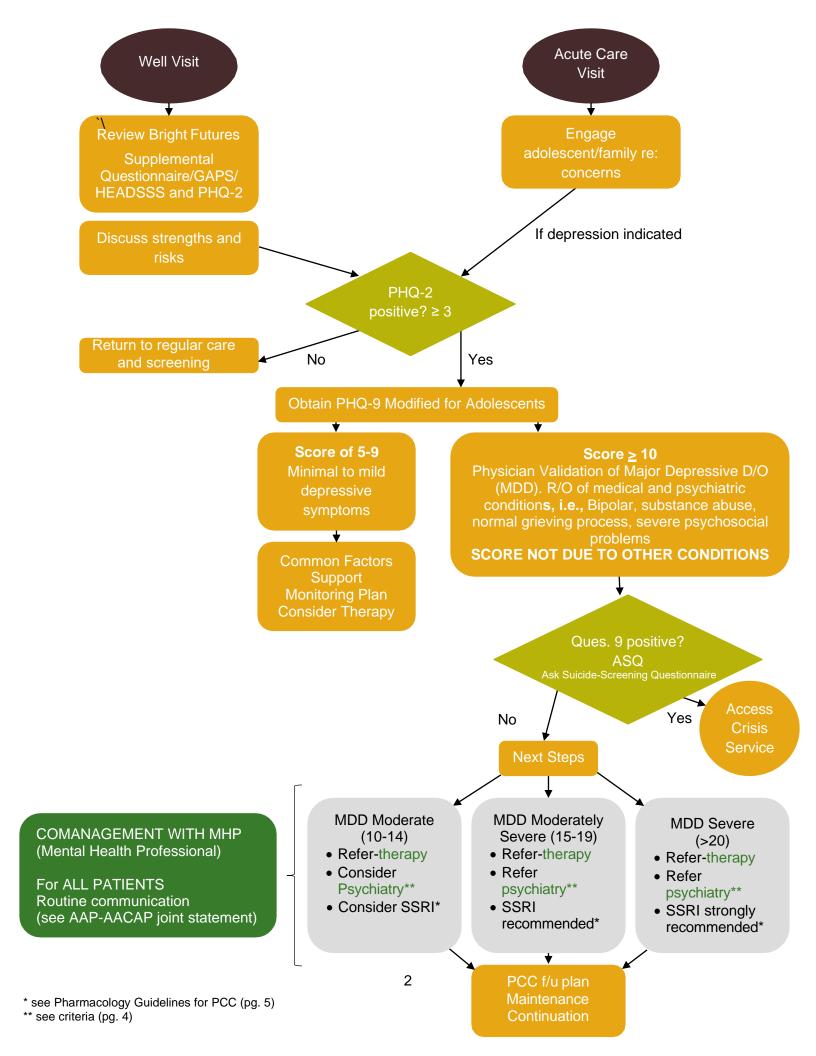
diagnosis of major depressive disorder with an assessment for suicide risk

# EVIDENCE-BASED INTERVENTIONS FOR MDD IN ADOLESCENTS:

#### **Psychosocial Interventions**

- CBT(Cognitive Behavioral Therapy) and medication
- CBT
- CBT with parents
- Family therapy

Psychopharmacological Intervention for Major Depressive Disorder (MDD)



# **Screening Guidance**

For the PHQ-9, a score  $\geq$  10 suggests MDD (Major Depressive Disorder), but requires clinical validation by the PCC.

- score of 5-9, supportive self-care, common factors, monitoring
- score of 10-14, moderate
- score of 15-19, moderately severe
- score of 20-27, severe

	Score 5-9	Score 10-14	Score 15-19	Score 20-27	
PCC call/increase visit frequency	Consider	All patients	All patients	All patients	
Referral: Therapy	Consider	All patients	All patients	All patients	
Referral: Psychiatry		See criteria pg.6	All patients	All patients	
Co-management		All patients	All patients	All patients	
Medication (see PCC guidelines)	N/A	See criteria pg.7-8	All patients	All patients	

# Referral and Co-management

#### \*\*Psychiatry Referral Criteria

- Severe depression
- Co-morbid substance abuse
- Co-occurring autism
- Psychotic/bipolar symptoms
- Severe psychosocial impact
- Previous episodes
- Prior suicide attempt
- Strong familyhistory
- 2 failed SSRI trials
- PCC or parent discomfort with PCC managingalone

#### While awaiting a referral:

- Find agreement on goals and steps to reduce stress
- Find agreement on healthy activities (*eg*, exercise, time outdoors, limits on media, balanced and consistent diet, sleep [!!!!], one-on-one time with parents, reinforcement of strengths, open communication, pro-social peers)
- Educate family; de-mystify the condition; support them in monitoring for worsening of symptoms or emergencies
- Initiate care (even if planning referral) using "common factors" and/or "common elements" of evidence-based Rx
- Monitor progress (eg, telephone, electronic communication, return visit)
- Provide assistance with referral

#### Co-management with Mental Health Professionals (MHP)

- Established referral relationship
- Warm hand off to both therapist and psychiatrist
- Standardized exchange of information (see AAP-AACAP joint HIPAA statement on communication between PCC and MHP <u>www.aap.org/mentalhealth</u> click on Key Resources, then HIPAA Privacy Rule and Provider to provider Communication) with both therapist and psychiatrist
- Shared record if integrated or co-located

## **Psychopharmacology**

"Guide to Psychopharmacology for Pediatricians." Center for Mental Health Services in Pediatric Primary Care,

http://web.jhu.edu/pedmentalhealth/Psychopharmacolog%20use.html; www.aap.org/mentalhealth key resources, then Primary Care Tools

- 3 drugs for treatment of MDD: Fluoxetine (Prozac), Sertraline (Zoloft), and Escitalopram (Lexapro).
- Titration: "start low and go slow;" titrate upward each 1-2 weeks toward target dose range; dose
  daily
- To D/C- taper dose down gradually. This affords less adverse effects and an opportunity to evaluate for continued need for Rx if symptoms recur.

#### **Fluoxetine**

- Comes in: 10,20,40 mg (capsule; only 10 is tablet); liquid 20mg/5ml
- Start at: 5 or 10 mg
- Titration schedule to effect: 5, 10, 20, 30, 40; one step every 1-2 weeks until 20 mg; then increase at 1 month intervals because of time to efficacy.
- Most common dose range: 20-40 mg; max is 60 mg (if titrate to 60 with no effect time to switch
  or refer to psychiatry)
- FDA approved for MDD (age 8); good evidence for anxiety disorders (FDA approved for OCD, age 7)

#### **Fluoxetine Pearls**

- Dose in the AM because tends to be activating (particularly initially)
- Half-life is 2-5 days, so good option for teen/family who is not good with adherence to medication schedule
- Potent CYP2D6 inhibitor with higher potential for drug-drug interactions

#### Sertraline

- Comes in: 25, 50, 100 mg tabs; liquid 20 mg/1ml
- Start at: 12.5 mg (1/2 tab)
- Titration schedule to effect: 12.5, 25, 50, 75, 100, 150, 200; first 3 steps within 3 weeks if possible and tolerated; then each subsequent step q month due to time to efficacy
- Most common dose range: 100-200 mg/day; max 200 mg
- FDA approved (age 6) OCD; good evidence for anxiety disorders; some evidence for MDD

#### **Sertraline Pearls**

- Dose in AM because somewhat activating
- But some patients feel more tired; if so, switch to bedtime.

#### **Escitalopram**

- Comes in: 5, 10, 20 mg; liquid 5mg/5ml
- Start at: 5 mg
- Titration schedule to effect: 5, 10, 15, 20; go to 10 mg after the first 1-2 weeks if tolerated; may be increased to 20 mg after 3 weeks.
- Most common dose range: 10-20 mg. Maximum dose 20 mg
- FDA approved for MDD (age 12)

#### SSRI's: Information for Patients and Families

#### **How SSRIs Can Help:**

- Decrease overall depression, enable people to be happy again
- Decrease overall anger/irritability
- Decrease feelings of hopelessness, worthlessness
- Improve energy level
- Improve concentration and memory
- Stabilize appetite and sleep
- Decrease getting "stuck" on certain worries/concerns/memories

SSRIs can take 2-6 weeks to start working; they have to be taken every day to work their best.

#### **Possible Side Effects:**

#### Short Term

- Stomach upset
- Increased anxiety/jittery feelings/moodiness
- Trouble with sleep (too tired or not able to sleep)
- For people <26 yo, possible increase in suicidal thinking</li>

#### Long Term

- May decrease interest in, or pleasure with sex (20-30% of people)
- May cause weight gain (average 5 lbs in a year for adults)

# Resources



## **Patient Health Questionnaire-2**

Over the past 2 weeks, how often have you been bothered by any of the following problems? Little interest or pleasure in doing things.

- 0 = Not at all
- 1 = Several days
- 2 = More than half thedays
- 3 = Nearly every day

Feeling down, depressed, or hopeless.

- 0 = Not at all
- 1 = Several days
- 2 = More than half thedays
- 3 = Nearly every day

Total	point	score:	

Information from Kroenke K, Spitzer RL, Williams JB. The Patient Health Questionnaire-2: validity of a two-item depression screener. Med Care. 2003;41:1284–1292

#### Source

Thibault JM, Steiner RW. Efficient identification of adults with depression and dementia. Am Fam Physician. 2004;70:1101–1110







# Patient Health Questionnaire-2 Instructions for Use

The PHQ-2 includes the first 2 items of the PHQ-9. The stem question is, "Over the past 2 weeks, how often have you been bothered by any of the following problems?" The 2 items are "Little interest or pleasure in doing things" and "Feeling down, depressed, or hopeless." For each item, the response options are "Not at all," "Several days," "More than half the days," and "Nearly every day, "scored as 0, 1, 2, and 3, respectively. Thus, the PHQ-2 score can range from 0 to 6.2 Ascore of 3 points or more on this version of the PHQ-2 has a sensitivity of 83 percent and a specificity of 92 percent for major depressive episode. 1

Screening with the PHQ-2 is only afirst step. Patients who screen positive should be further evaluated with the PHQ-9, other diagnostic instruments, or direct interview to determine whether they meet criteria for a depressive disorder.<sup>2</sup>

### Score interpretation:

	<u> </u>	
PHQ-2 score	Probability of major depressive disorder (%)	Probability of any depressive disorder (%)
1	15.4	36.9
2	21.1	48.3
3	38.4	75.0
4	45.5	81.2
5	56.4	84.6
6	78.6	92.9

#### Sources:

- 1. Thib ault JM, Steiner RW. Efficient identification of adults with depression and dementia. Am Fam Physician. 2004; 70:1101-1110
- 2. Kroenke K, Spitzer RL, Williams JB. The Patient Health Questionnaire-2: validity of a two-item depressions creener. Med Care. 2003;41:1284–1292





# PHQ-9 modified for Adolescents (PHQ-A)

feeling.	(0)	(1)	(2)	(3)
	Not at all	Several days	More than half the days	Nearly every day
1. Feeling down, depressed, irritable, or hopeless?				
2. Little interest or pleasure in doing things?				
Trouble falling asleep, staying asleep, or sleepingtoo much?				
4. Poor appetite, weight loss, or overeating?				
5. Feeling tired, or having little energy?				
6. Feeling bad about yourself – or feeling that you are a failure, or that you have let yourself or your family down?				
7. Trouble concentrating on things like schoolwork, reading, or watching TV?				
Moving or speaking so slowly that other peoplecould have noticed?				
Or the opposite – being so fidgety or restless that you were moving around a lot more than usual?				
Thoughts that you would be better off dead, or of hurting yourself in some way?				
In the <b>past year</b> have you felt depressed or sad most days,	even if you fe	lt okay somet	mes?	
□Yes □No				
If you are experiencing any of the problems on this form, ho do your work, take care of things at home or get along			lems made it fo	or you to
□Not difficult at all □Somewhat difficult □	☐Very difficult	□Extre	nely difficult	
Has there been a time in the <b>past month</b> when you have h	ad serious tho	ughts about e	nding your life?	•
□Yes □No				
Have you <b>EVER</b> , in your WHOLE LIFE, tried to kill yourself	or made a sui	cide attempt?		
□Yes □No				
**If you have had thoughts that you would be better off deached with your Health Care Clinician, go to a hospital emerge			me way, please	e discuss
Office use only:	Sev	erity score: _		

Modified with permission from the PHQ (Spitzer, Williams & Kroenke, 1999) by J. Johnson (Johnson, 2002)

# HIPAA Privacy Rule and Provider to Provider Communication

American Academy of Child and Adolescent Psychiatry (AACAP) and the American Academy of Pediatrics (AAP)

The Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule provides an important privacy rights and protections standard for patients with respect to their health information. HIPAA provides a uniform minimum standard, which individual state laws may supersede by mandating additional restrictions. AAP and AACAP both support the importance of this HIPAA rule in helping to protect against the inappropriate release of private health information, as well as to optimize safe care by allowing important clinical information to be shared among the clinicians of the patient's care team. It is considered a best practice to inform patients and parents about the critical need for care providers to communicate with each other in providing high quality care.

Unfortunately there are misperceptions about the HIPAA Privacy Rule which have developed and persisted over the past decade, which can interfere with appropriate patient care. Collaborative and integrated care systems rely on the appropriate and timely sharing of clinical information among a patient's treatment providers. If professionals do not appropriately communicate about their shared patients under the belief that HIPAA requires a signed consent for each communication, then patient care may suffer. Therefore AAP and AACAP have created this issue brief to clarify what the HIPAA rule does and does not limit regarding clinical care information exchange among pediatricians, child psychiatrists and other physicians and mental health providers.

The following are answers to commonly asked questions:

1. What information can be disclosed between treatment providers without a patient/legal guardian's written authorization under HIPAA?

Any pertinent clinical care information, including mental health treatment information, can be disclosed and discussed between a patient's current treatment providers without written disclosure authorization except for the following two types of information: A) the content of written psychotherapy notes (see below), and B) substance abuse treatment records that are maintained by a licensed substance abuse program (42 USC § 290dd–2; 42 CFR 2.11). Substance abuse information obtained in other treatment settings may be communicated among a patient's treating providers without written consent.

2. What constitutes psychotherapy note information that cannot be disclosed under HIPAA without a patient's explicit consent?

The HIPAA definition of a "psychotherapy note" is quite restrictive. A psychotherapy note per HIPAA can only consist of a mental health professional's written analysis of a conversation that occurred during a private counseling session that is maintained separately from the medical

record. These written analyses serve as working process notes about sessions to assist the therapist, and are not put into the medical record billing document. Anything which appears in the patient's medical record cannot be categorized as a psychotherapy note under the HIPAA rule. Specific content that has been listed as not falling under the "psychotherapy note" protections include medication management information, counseling session start and stop times, the type and frequency of treatment delivered, the results of clinical tests, diagnosis summaries, functional status, treatment plan, symptoms, prognosis, and progress to date. 45 CFR 164.501

# 3. Can treatment providers who work in separate care systems communicate with each other about a shared patient?

Yes. Treatment providers do not have to share the same employer or share the same electronic health record in order to disclose pertinent protected health information about a mutual patient without consent from the patient or parent. The key component for this HIPAA allowance is that both providers have a treatment or consultative role with that patient. (See also http://www.hhs.gov/ocr/hipaa). Whenever PHI is transmitted electronically (eg, telephone voice response, text messaging, faxback, or email, etc) it is covered by the Security Rule and must be made secure by measures such as encryption, secure platforms, or closed systems. Voice mail messages, telephone conversations, and paper-to-paper faxes are not subject to the Security Rule. All PHI (eg, in oral, electronic and written forms) fall under the Privacy Rule.

# 4. Does HIPAA allow for sharing treatment information via an electronic health record without written consent?

Yes, but there are additional regulations around the security standards needed for protecting electronic health records. Essentially, rules and procedures are required in the maintenance of an electronic health record to prevent their unauthorized access, alteration, deletion, and transmission. These security regulations for electronic records are outlined in the HIPAA security rule of 2005, and the HITECH act of 2009.

#### 5. Are there any other regulations that conflict with HIPAA communication allowances?

Yes. Providers need to be aware that any state regulations that are more restrictive than the HIPAA rules will take precedence in those states, and so providers need to be aware of their own state's information regulations. If you are unfamiliar with your state's regulations, it will be important to specifically seek out your state department of health's privacy rules. To obtain information on current state laws, you may also contact the AAP Division of State Government Affairs at stgov@aap.org

Also, clinical information obtained at a certified substance abuse treatment center is subject to additional federal privacy rules, which at this time do not allow provider to provider communication without formal consent.

Case examples where HIPAA allows for provider to provider communication without a signed release:

- At his 13 yr old well-visit, an adolescent (and his parent) tells his pediatrician that he is seeing a
  psychiatrist because of depression and he is doing better. The pediatrician contacts the
  psychiatrist to discuss medication and the pediatrician's role in supporting the young man and his
  family.
- A 13 year old boy is receiving depression treatment from a child psychiatrist, including both a
  fluoxetine prescription and counseling. The same boy is also having problems with recurrent pain
  for which he regularly sees his pediatrician, who has been prescribing a low dose of amitriptyline
  for that problem. Because of treatment plan overlaps, both treatment providers discuss and
  coordinate their care.

- 3. A 15 year old girl has just completed a well child check at her pediatrician's office. It was noted that she had a blood pressure of 145/95 and pulse of 130. The pediatrician learns that she has recently started taking methylphenidate as prescribed by a child psychiatrist. Because high blood pressure may be a side effect of methylphenidate, the pediatrician contacts the child psychiatrist to discuss and coordinate care.
- 4. A 5 year old boy with significant behavior problems is being seen by a child psychiatrist. In the course of treatment, it becomes apparent that poorly skilled parenting practices at home are the main reason for his symptoms. The psychiatrist reaches out to the child's pediatrician to share this assessment and the behavior management advice that is being offered to the family.

View other case examples and the rest of the FAQs at www.aap.org/mentalhealth.

Disclaimer: This information is intended to be educational in nature. It is not intended to constitute financial or legal advice. A financial advisor or attorney should be consulted if financial or legal advice is desired. HIPAA has many different requirements and regulations. Practitioners need to be aware that their own state laws can be more restrictive than HIPAA.

# Antidepressant Monitoring Form for Children and Adolescents



						An Ag Health	ency of the Provincial Services Authority
Name:		Start Date:		Weight_	kg	Height:	cm
Medication Name:		Rater's Na (If different t		Relations	ship topatie	nt:	
Purpose							
If you have been given to depression. This form is also to measure any side help guide your discuss the most.	designed to he effects you n	elp you, your c nay be experie	aregivers and you	our doctor monitor ing this form with	how well yo you when yo	ur medication is u visit your doo	s working and ctor. Please use i
<b>Directions:</b> Before you doctor or not), please radescribes your experien	te the following	g possible sym	ptoms and side	effects. In other we	ords, please	write the numb	
0 = not preser [I haven't notice		1 = a little [it doesn't both		= a moderate a [it bothers me]	mount	3 = a severe [it bothers n	
Date							
Dose							
Dammarian	l Danatina	T 4 als	10	1 4 1	0	0	40
Depression	Baseline	1 week	2 weeks	4 weeks	6 weeks	8 weeks	12 weeks
Feeling things are hopeless							
Feeling tired							
throughout the day (too hard to get going)							
Guilty feelings (e.g.,							
like you let either							
yourself or someone							
else down)							
Irritable mood							
Little interest or							
pleasure in doing							
things you usually like							
Low mood or feeling sad							
Moving or speaking							
very slowly							
Not able to complete tasks (e.g., at school,							
work or home)							
Overeating (eating >3							
large meals/day)							
Poor appetite (eating <2 regular meals/day)							
Sleeping too much (>12 hrs/day)							
Thoughts of harming		1					
yourself or that you							
are better off dead							
Trouble concentrating	1	1	1	Ī	1	1	1

or focusing on a task
Trouble falling or
staying asleep

Anxiety	Baseline	1 week	2 weeks	4 weeks	6 weeks	8 weeks	12 weeks
Avoidance behaviours							
Compulsive habits							
Feeling overly and uncontrollably worried							
Obsessive thoughts							
Panic attacks							
Social anxiety							
Unusual aches & pains in the body							

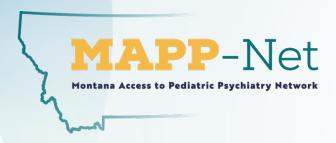
Please note: This monitoring form is designed to be used for several types of antidepressant medications. Hence, the "possible side effects" listed below represents those from several different antidepressants. Please rate them all using the same scale:

0 = not present [I haven't noticed this]		1 = a little oesn't bother	me]	2 = a moderate amount [it bothers me]  3 = a severe amoun [it bothers me a lot]			
Possible							
Side Effects	Baseline	1 week	2 weeks	4 weeks	6 weeks	8 weeks	12 weeks
Appetite gain							
Appetite loss							
Constipation							
Diarrhea							
Disruption with either menstrual cycles or sexual functioning							
Dry mouth							
Feeling agitated							
Feeling dizzy or lightheaded							
Feeling nauseated or vomiting							
Feeling overly excited or happy							
Feeling overly tired or sleepy							
Headaches							
Inner sense of							
restlessness							
Racing heart beat							
Seizures							
Skin rash							
Stomach aches							
Strange dreams							
Sweating							
Thoughts of causing harm to yourself or others							
Twitching or muscle tremors (shakiness)							
Unusual bleeding or bruising							
Urinary problems		-					
Weight gain		1					
Weight loss		1					
Approximate # of missed doses of your antidepressant (past week)	N/A						

Please list any other medications you are taking:







## For more information, contact:

#### Miranda LeMieux

MAPP-Net Program Specialist
Family and Community Health Services Section
DPHHS, Children's Special Health Services
miranda.lemieux@mt.gov
406-444-7077

#### **KIM HART**

MAPP-Net Program Coordinator
Billings Clinic, Psychiatry
khart@billingsclinic.org
406-435-4556

#### MAPP-Net.org









This project is supported by the Health Resources and Services Administration (HRSA) of the U.S. Department of Health and Human Services (HHS) as part of an award totaling \$2.2MM with 25% financed with nongovernmental sources. The contents are those of the author(s) and do not necessarily represent the official views of, nor an endorsement, by HRSA, HHS or the U.S. Government.